

## Bush Foundation Grantee Extension Request Form

<b>Date of extension request:</b> 8.19.16	Click here to enter a date.		
<b>Grantee organization:</b>	Oglala Sioux (Lskota) Housing		
<b>Contact person:</b>	tom allen	<b>Contact person title:</b>	Grant Facilitator
<b>Contact person phone:</b>	605-641-4433	<b>Contact person email:</b>	mitom@rushmore.com
<b>Grant #</b>	30056		
<b>Amount of Bush funds remaining:</b>	\$24,000	<b>As of this date:</b> 8.19.16	Click here to enter a date.
<b>The remaining funds will be expended by the following date (proposed revised grant end date):</b> 9/30/2017			Click here to enter a date.
<b>The reason for this extension request is the following:</b> The Oglala Sioux Tribe became a Promise Zone and opened up major opportunities in terms of water ,sewer and solid waste. We are close to being able to present the information for the Commission to the OST Council but the Council changes in December. We have a lot of work to finish and think presenting to the Council next year will work better.			
<b>The remaining funds will be used for the following purpose:</b> We owe on contracts for community input meetings and ordinance development.			
<b>The above purpose relates to the original intent of this grant, which is:</b> To develop a Water, Sewer and Solid Waste Entity/Commission for the Oglala Sioux Tribe			Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

## Grant Application

1. **Applicant Organization** OSLH
2. **Sponsored Project** NA
3. **About the Project** **Where:** Oglala Sioux Tribe **Issues:** Environment, Health, public and societal benefit/ rural/ 24 months/\$170,247
4. **Narrative**

### **Background and Content**

**Title** Makoce Na Mni Waste (Good Earth and Water)

#### **Two Sentence Summary (50 words)**

We are bringing all Tribal stakeholders on the Pine Ridge Indian Reservation as well as federal agencies together to set up an efficient and effective water, wastewater and solid waste management systems under a new type of management entity for operations, maintenance, upgrade and new infrastructure planning and development.

#### **Organization History and Mission (200 words)**

Oglala Sioux (Lakota) Housing (OSLH) is a Tribally Designated Housing Entity chartered by the Oglala Sioux Tribe (OST) in 1998 (reorganizing the Oglala Sioux Housing Authority chartered in 1963). Our mission is to obtain and maintain housing for the people of the Pine Ridge Reservation and assist with improvement of health, safety, and economic prosperity.

OSLH manages and maintains over 1203 low rent and has built over 500 home ownership units. OSLH is in the process of developing and building new low rent and home ownership units. OSLH is the main client of the OST water, sewer and solid waste systems.

Since 2007 OLC has obtained and operated over \$14 million in competitive grants to rehabilitate houses, construct houses and develop new sites for housing including 9 subdivisions in the 9 Districts of the 3,463 square mile Pine Ridge Indian Reservation. OSLH collaborates with just about every Tribal and other organization on the Reservation to improve the quality of life of Reservation residents. At the request of the OST, OSLH has just obtained a \$999,716 grant from the USDA/Rural Development Water and Waste Program to renovate the condemned Wounded Knee wastewater lagoon system.

#### **Problem trying to solve/how was it decided to focus on this problem? (200 words)**

Infrastructure systems on the Reservation were developed without overall planning by a mix of Tribal programs and Federal government and there was no one reviewing and overseeing. The result is over \$21 million dollars of needed upgrades for water systems, \$5 million dollars of upgrades for sewage systems, and a solid waste system that is under court order and EPA sanctions. There is no Tribal coordinating entity to plan and develop new infrastructure for new housing. In developing the plan to renovate the Wounded Knee lagoon we learned that the lagoon is at capacity and could not support new houses. The Reservation has a shortage of over 2500 houses according to HUD and in trying to build new houses the biggest obstacle and cost is water and sewer infrastructure. OSLH is also the largest client of the OST Solid Waste program which is under EPA sanction. We constantly run into sewer maintenance and trash pickup problems because entities do not pay their bills to the Tribe. Lack of funds for maintenance of water and sewer systems causes problems in our low rent units and lack of planning and development of new infrastructure severely hampers our development of new houses.

#### **Process**

**(400 words)**

##### **a. Overview of Work and Goals**

There are three basic needs: 1. An entity under the Tribe that can oversee the three basic services of water, sewer and solid waste. 2. Planning and fundraising to upgrade and expand current facilities and infrastructure for new housing and business development and 3. Implementation of environmentally friendly and economically positive practices impacting water, sewer and solid waste including irrigation, recycling, conservation, etc.

Goals 1. Develop a self-sustaining entity under the Oglala Sioux Tribe to oversee water, sewer and solid waste operations, maintenance, planning, expansion and environmentally sound practices. 2. Do a comprehensive plan for Operations, Funding, Maintenance and Repair, Replacement, New Infrastructure and Environmental Practices.

**b. Key activities and why selected**

Key Activities: 1.1 Do research on other tribal and municipal systems 1.2 hold a series of stakeholder focus groups 1.3 do Tribal Council, Housing and other Board and administrator education and planning sessions 1.4 develop a website to put all the information on 1.5 research all possible funding sources for operations, replacement expansion revenue including user fees, maintenance fees, bonds, federal funding, etc. 1.6 have a Tribal Ordinance passed legally setting up the Commission to oversee water, sewer and solid waste 1.6 gather accurate demographic, land, environmental, engineering information to base a plan on 1.7 develop a comprehensive plan for all three areas including operations, maintenance, replacement and expansion. We selected these activities after two years of looking at the issues by the Tribe and Housing. 1.8 Collaborate with Federal agencies to develop and implement the systems from the Tribally sovereign point of view.

We have selected these activities since we do not have the knowledge or resources at the current time to make informed decisions on what system will work. After a year of looking we realize there needs to be more research both into outside systems and into the local situation. Issues include condition of current systems, capacity of current systems, legal and EPA concerns, tribal financing issues, etc. We will also do a community education program using the Lakota Country Times, KILI radio, internet, Facebook and Twitter for output and input.

**Inclusive** (meaningfully engaging key stakeholders, identifying those needed to create the change and including those directly affected by the problem.) (150 words)

We are building on the work of the Oyate Consortium of 19 reservation entities organized by Thunder Valley Community Development which developed the Oyate Omniciye Comprehensive Plan in 2012 through a HUD Sustainable Housing and Community grant. The main recommendation was a Regional Planning Commission but resources are still being sought. The plan included recommendations on water quality and sustainable infrastructure and on the solid waste system. Planning included talking circles with over 300 people involved.

OSLH, Oglala Sioux Rural Water Supply System, OST Solid Waste, OST Environmental Protection Agency, Tribal Council Representatives, USDA, HUD, Bureau of Reclamation and EPA have met in the past to address the pressing issues. Over the past 4 months we held monthly meetings of the above key stakeholders together to discuss the formation of a Commission to oversee and increase tribal sovereignty and good management of water, wastewater and solid waste.

**Collaborative** (partners willing to change to be more effective together) (150 words)

Oglala Sioux Tribal Council members and program directors have expressed the need for a new form of organization to address the issues. They supported the research and development into what might work including a tribal holding company, a tribally chartered Commission, or a

“super” tribal program or enterprise within the tribal system with special fiscal management and personnel powers.

These options all would be major changes to business as usual. They would affect the power structure and revenue structure of the Tribe. Authority and responsibility would be reassigned. The Council and all the stakeholder groups have acknowledged the need for change to happen and are waiting for more information and data. This proposal would help us continue planning and provide a solid basis to develop and choose the most effective and beneficial option.

**Resourceful** (making most of community strengths and resources) (150 words)

The bulk of coordination and research has been done by a Tribal contractor who works both for OSLH and OST Rural Water. The time contracted is for grant writing and does not allow for the research and development that has to be done. Each of the stakeholders involved does not have a planning person or department as such.

OSLH spent about \$30,000 in collaboration with OST Water and Sewer to obtain a USDA Water and Sewer Grant for \$999,000 to renovate the condemned Wounded Knee lagoon. OSLH and OSTRW are spending about \$60,000 to obtain a USDA W& S grant for the Wounded Knee water system upgrade. We have used collaborative resources to gather information on the Rosebud and Cheyenne River water and wastewater systems, review tribal charters for other commissions, holding companies and tribal enterprises, obtain BOR assessments of water systems and USDA assessments of 17 wastewater systems.

**Capacity** (skills/resources/staff/organization and collaborative for work and plan to build additional internal capacity for project) (150 words)

Oglala Sioux (Lakota) Housing has a Management Team that has brought in and completed over \$14,000,000 in development, maintenance and repair projects over the past 5 years. We have 12 Tenant Service Representatives working in the 9 Districts of the Reservation. We have been collaborating with the Thunder Valley Development Consortium for the past 3 years on housing design, environmental issues and infrastructure planning. We worked with OST Water and Sewer and Oglala Sioux Rural Water to develop proposals for the upgrade of the Wounded Knee Lagoon and planning for the other lagoons and for the upgrade of the Wounded Knee water system.

We will use the grant to select one person from each of the key groups OSLH, OST Water and Sewer and OST DWM to become conversant in planning and development. These people will segue to the Commission or as employees of the Commission.

**Outcomes**

**Address racial or economic disparity (150 words)**

The Pine Ridge Indian Reservation has 32,192 (HUD 2014) people spread over 3,468 square miles with 9 major towns and 50 communities and is the 3rd poorest area in America (US Census 2012). 93% of the population is Native American. According the HUD 2014 Formula statistics: AI/AN households with annual income less than 30% of median income: 1,866; AI/AN households with annual income between 30% and 50% of median income: 1,227; AI/AN households which are overcrowded or without kitchen or plumbing: 2,740; HUD also states the housing shortage for low-income Native American households at 2,507.

\$20,000,000 for water infrastructure, \$10,000,000 for waste water infrastructure and over \$3,000,000 to address EPA sanctions on the solid waste system are true disparities. Water,

wastewater and solid waste are not the usual buzzwords for tribal sovereignty but they are necessities of life and key pieces of dealing with the housing shortage and economic development.

**Progress** (hope to achieve by end of project to address problem/Key outcomes/change in people/increase, decrease, improve, etc. **(300 words)**)

We have been meeting with the key stakeholders and Tribal Council representatives for the past two years. We have researched systems on the Rosebud and Cheyenne River Reservation. We have done preliminary reviews of income and expenses for the 3 key entities: OST Water Maintenance and Development, OST Water and Sewer, and OST Solid Waste. We have gathered samples of charters and bylaws for tribal utilities, holding companies, etc.

By the end of the project we hope to have a tribal entity that can operate, upgrade and expand efficient and effective water, sewer and solid waste management systems. We hope to have three revenue neutral systems for water, wastewater and solid waste. We hope to have codes and processes in place to assure continued healthy and legal operations.

Key Outcomes include: Tribal Ordinance setting up the Water, Sewer and Solid Waste Commission entity as either a tribally chartered organization or special tribal program. Tribal Ordinance setting up environmental guidelines and practices. CASE statement with demographics, system data and needs. Comprehensive Plan including operations, upgrades, and expansion of all three systems. Annotated list of funding vehicles and resources. Journal and documentation of the innovative and inclusive planning and implementation process used. Web site with resources that could be useful to other tribes and groups. Ongoing collaborative process to keep Reservation entities working together on operations, maintenance and development. Web site and Facebook page where all the relevant information on water, wastewater and solid waste will be available.

**If successful what will do next/How will share what learned with others/What change hope to create (300 words)**

If we are successful the Commission will operate and proceed to do annual objectives to implement the comprehensive plan to update and improve all three systems. We will take the list of water upgrade priorities and begin Preengineering Studies and Environmentals on the top priorities to submit proposals for upgrade at least to USDA. We will take the list of wastewater upgrade priorities and begin Preengineering Studies and Environmentals on the top priorities to submit proposals for upgrade at least to USDA.

We will share with others through a link on the OST website that documents the process and outcomes of the project.

We hope to change the way of doing things by going from lack of coordination to a smoothly collaborative mode of cooperating and sharing. We hope to be able to tap into all available resources and create new ones through the Federal interagency agreement that follows Tribal direction rather than Federal government whims. We hope to go from crisis decision making to having the time and expertise to study problems and come up with well researched solutions. We hope to have self-sustaining water, sewer and solid waste operations with possible funds to do development. We hope to have identified new systems for dealing with waste water and solid waste that are environmentally friendly and, in the case of solid waste, possibly profitable. We hope to have a number of ready sites with affordable infrastructure for housing and economic development. We hope to have an informed populace and organizations that

practice and support environmentally friendly procedures in terms of water, sewer and solid waste.

**Makoce Na Mni Waste (Good Earth and Water) Budget (2 years)**

**Personnel & Fringe** **65,000**

OSLH Program Coordinator	25%	20,000
OSRWSS	15%	15,000
OSTW& S	15%	15,000
OST Solid Waste	15%	15,000

The Tribal programs have trouble making ends meet. This will help encourage them to assign a staff member at least one day every two weeks to the project for Coordination meetings, to do background work, etc.

**Travel** **13,150**

3 trips to example sites  
 $\$2,500 \times 3 = 7,500$

We will identify 3 sites that have exemplary water, sewer or solid waste programs and send a representative to gather information and report back. We have identified a few possible sites already including the Cheyenne River Solid Waste Program and Navajo Tribal Utilities Administration.

Mileage 10000 mi x .565 = 5,650

This will be for the Coordinator and other staff etc. to make it to meetings.

**Supplies** **4,500**

Jump drives  $100 \times \$20 = 2,000$

We will give these to program participants at meetings instead of paper.

Office 2,500

**Consultants** **72,120**

**Coordinating Consultant mitom,inc**

$2 \text{ days} \times 12 \text{ months} \times \$630 = \$15,120$

This consultant does the Grants Facilitation for OSLH and OSRWSS and will do the heavy writing on the plan, etc.

**Community Planning Thunder Valley** **\$30,000**

Thunder Valley Community Development has just completed a massive community input and planning project. We will tap into their expertise to take what they have gathered and take it to the next step of planning communities with the infrastructure needed.

**Legal Consultant TBD** **\$12,000**

We will utilize a legal consultant to draw up the Tribal Ordinance for the Commission and other Ordinances affecting water, sewer and solid waste.

**Focus Group Stipends \$100 x 100 = 10,000** **\$10,000**

We will have a series of focus groups with a wide variety of community members, etc. and will give \$100 stipend.

**Web Site Development** **\$5,000**

We will contract with Oglala Lakota College Media to develop and maintain a web site to put all information on the Internet so all stakeholders have instant access.

**Total Direct 154,770**

**Fiscal and Admin Fee**

**15,477**

OSLH's administrative cost average 18% but we will put 10% in for bookkeeping, etc.

**Total**

**\$170,247**



***OGDLALA SIOUX (LAKOTA) HOUSING***

PO Box 603 ♦ East Hwy 18  
Pine Ridge, South Dakota 57770

To: Rachel

From: Tom Allen

Date: 5/15/14

Re: Checklist

Here is everything you asked for except photos. The photos I have are too big and would not upload. I do not have the above logo as .jpg but can get. I tried to submit info on the website but would not submit without logo as .jpg.

I am ready for you call tomorrow 5/16 930AM MDT. 605-722-7629

**Governance, Administration, & Personnel**

OSLH is governed by a Board established by Oglala Sioux Tribe Ordinance and selected by the Oglala Sioux Tribal Council.

**Current Board Members are:** Emma "Pinky" Plume-Clifford, Director, Oglala Sioux Tribe Partnership for Housing; Ivan Bettelyoun, Entrepreneur, and Taylor Littlewhiteman, Member, Little Wound School Board.

The **CEO, Mr. Paul Iron Cloud**, graduated from Pine Ridge High School in 1960. He has the following experience: 2007-Present, Chief Executive Officer, Oglala Sioux Lakota Housing, Pine Ridge, SD; 1999-2006, Storeowner/Operator, Porcupine, SD; 1991-1999, Executive Director, Oglala Sioux Housing Authority, Pine Ridge, SD; 1990-1991, Public Relations Director, Oglala Sioux Housing Authority, Pine Ridge, SD; 1989-1990, President, Oglala Sioux Tribe, Pine Ridge, SD; 1985-1986, Fifth Member, Oglala Sioux Tribe, Pine Ridge, SD; 1975-1980, 1983-1984, Oglala Sioux Tribal Councilman, Porcupine, SD; 1974-1982, District Chairman, Porcupine District; 1970-1974 Manpower Supervisor, Oglala Sioux Tribe, Pine Ridge, SD; 3 Terms as President of the United Native American Housing Association.

Mr. Iron Cloud has also headed up the following successful projects since 2007: completing construction of 27 home ownership units in 2008, ARRA Formula Projects (2009 to 2010/\$4,381,821), ARRA Competitive Projects (2009-2011/\$4,000,000), 3 ICDBG projects totaling over \$4 million and the current Rural Innovation Project of \$3,000,000 that will construct 18 low rent houses by 9/14.

Mr. Iron Cloud is the CEO and supervises Mr. Pipe On Head and Mr. Martin directly. He is a hands-on administrator who has coordinated the development team for planning the above mentioned projects and will be the chief executive for implementation of this Title VI project. He has ultimate authority and oversees the entire OSLH operation, approves grants, meets with the Oglala Sioux Tribe, signs collaboration agreements with partners, holds supervisors' meeting weekly, and approves hiring and firing based on Hiring Committee recommendations.

The **Assistant CEO and Contracts Officer is Mr. Doyle Pipe On Head**. His education includes BS in Business Management, University of South Dakota, 1992, and Pine Ridge High School, HS diploma, 1981. His experience includes: 5/06 - present, Assistant Chief Executive

Officer, Oglala Sioux Lakota Housing, Pine Ridge, SD; 1/04 - 1/05 & 11/05-4/06, Business Manager, Wakanyeja Pawiciyapi, Porcupine, SD; 1/05 - 6/05, Business Manager, Lakota Journal, Inc., Flandreau, SD; 6/94 -1/04, Native American Activities Coordinator, University of South Dakota, Vermillion, SD. He is also a US Marine Corps veteran.

Mr. Pipe on Head has been part of all the successful projects since 2006. He was also primarily responsible for getting the OSLH Contracting and Procurement procedures implemented and did the groundwork to get OSLH registered on the CCR and grants.gov in 2009 and SAM in 2012. Mr. Pipe On Head also handles the Contracts Division. Mr. Pipe On Head will oversee the loan details and contracting procedures and take care of performance reporting.

**Ms. Christy Red Hair is Finance Manager.** Her education includes an AAS, Administrative Office Assistant, National College, Rapid City, SD, 1992 and BS in Business Administration, National College, Rapid City, SD, 1996. Her experience includes: 9/07-7/00, Financial Aid Director, Oglala Lakota College, Kyle, SD; 4/00-5/02, Accounting, Conesco, Rapid City, SD; 9/02-7/05, Secretary/Accounting Clerk, Rural America Initiatives, Rapid City, SD; 7/05-Present, Finance Manager, Oglala Sioux (Lakota) Housing, Pine Ridge, SD. Ms. Red Hair has provided the budgeting, fiscal management and reporting for all of the successful projects since 2007. She and her office did the bulk of the work in completing the audits and answering audit findings. She was also instrumental in the conversion to the new computerized financial management system. Ms. Red Hair will handle the fiscal management, fiscal reporting and preparation for auditing. She is also responsible for payroll and bill payment.

**Mr. Ernie Little, Development Coordinator,** has over 20 years in housing development and administration on the Pine Ridge Reservation. He was Inspector/Assistant Development Coordinator for the Oglala Sioux Housing Authority (which became Oglala Sioux (Lakota) Housing in 1998) from 1989 to 2002. He was Executive Director of OSLH from 2002 to 2004 and has been Development Coordinator for OSLH since 2006. Mr. Little is pursuing a Business degree from Oglala Lakota College. He has numerous certificates including Blueprint Reading from Western Dakota Tech, Waste Water Management from Indian Health Service, Land Map Reading/Surveying from Watertown Vo-Tech, and Federal Regulations and Indian Housing from HUD. Mr. Little was directly responsible for the completion of the 45 low rent units in 2005; the completion of the 27 home ownership homes in 2008; the securing of the 9 subdivision sites in 2009; and the recycling of 48 Air Force Units for home ownership from 2004-2011. Mr. Little supervises the Development Office including Mr. Barber and Mr. Ecoffey and is the overall coordinator of construction and major renovation projects. His office will monitor implementation of contracts and progress of construction.

**Mr. Gilbert Ecoffey, Chief Inspector,** is certified in Pre-Apprenticeship Training (South Dakota 1962) and in Existing and New Residential Housing Inspection (University of Wisconsin 1977). He was Project Supervisor/Inspector for the Oglala Sioux Housing Authority from 1987 to 2003 when he retired. He came back to OSLH in 2008 as a Project Manager to complete a 27 unit home ownership project and was made Chief Inspector in February 2009.

Mr. Ecoffey worked on the completion of the 45 low rent units in 2005; the completion of the 27 home ownership homes in 2008; the securing of the 9 subdivision sites in 2009; and the recycling of 24 Air Force Units for home ownership in 2007-2008. He supervised the inspections on all projects completed in the past 7 years and is currently doing the inspections for the Rural Innovation Fund houses.

**Mr. Vincent Martin became Chief Operations Officer** in August 2013. He has been Mold Remediation Coordinator/Project Foreman for OSLH since July 2011. Before that he was



Facility Manager for the Pine Ridge Bureau of Indian Affairs from 2005 to 2010. From 1996 to 2005 he was a Maintenance Man for the Pine Ridge BIA and performed carpentry and other duties repairing windows, doors, locks, plumbing, painting, patching, electrical, HVAC, roofs, etc. He was a Carpenter for the Pine Ridge BIA from 6/92 to 3/95. He is a US Marine Corps Veteran and has 51 Credits from Oglala Lakota College including Accounting 1 and 2, Business Law and Principle of Business. He attended numerous training sessions when working for the BIA including Personnel Management (2007), Supervisor Training (2007), Boiler Certification (1999), Managing a Maintenance Department (2005), Basic Maintenance (1996), Basic Plumbing (1995), HVAC (2000), Gas Furnaces (2006), Asbestos Contractor Supervisor (2003), Lead Paint Contractor Supervisor (2003), and Mold Awareness (2007). He oversaw completion of the 3 ICDBG projects and is now Supervisor of Mr. Little.

Mr. Tom Allen of mitom, inc., a minority female owned firm, has done the Indian Housing Plan and Annual Performance Report development with OS(L)H for the past 14 years. He assisted with the writing of the ARRA Formula and Competitive grants and with Tipi Zannika 1, 2 & 3. He assisted with this TZ4 proposal and will assist with quarterly reports on all OS(L)H projects to the OS(L)H Board, OST Health and Human Service Committee and to the public and with reports to the Federal government.

OSLH has a legal services agreement with Wagenlander and Associates of Denver, CO.

OSLH has an audit done each year by John Donham & Associates of Albuquerque, NM.

**Personnel** OSLH currently has 165 employees, 128 permanent, 37 temporary.

**Mission** “Exercising our sovereign and treaty rights, Oglala Sioux (Lakota) Housing will work with communities in a culturally appropriate manner to provide safe, sanitary, and affordable housing to OST members on the Pine Ridge Indian Reservation. We will efficiently utilize any and all resources including those obligated and due us by the United States Government. The primary goal is to provide housing, although secondarily employment and economic development can be a benefit. But, OS (L) H shall always use the most effective and efficient means to provide housing.”

**Purposes (from the 1998 Charter)**

OSLH shall be organized for the primary purposes of:

1. Remediating the unsafe and unsanitary housing conditions that are injurious to the public health, safety and morals;
2. Alleviating the acute shortage of decent, safe and sanitary dwellings for persons of low income, and
3. To assist persons of all income levels to obtain good and decent housing at a fair and reasonable cost.

A secondary purpose of OSLH shall be to provide employment and economic development opportunities through the construction, reconstruction, improvement, extension, alteration or repair and operation of housing.

**Website:** [www.oslh.org](http://www.oslh.org)